Neocortical Development

Shirley A. Bayer, Ph.D.

Department of Biology Indiana University and Purdue University at Indianapolis Indianapolis, Indiana

Joseph Altman, Ph.D.

Department of Biological Sciences Purdue University West Lafayette, Indiana

© 1991 by Raven Press, Ltd. All rights reserved. This book is protected by copyright. No part of it may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronical, mechanical, photocopy, or recording, or otherwise, without the prior written permission of the publisher.

Made in the United States of America

Library of Congress Cataloging-in-Publication Data

Bayer, Shirley A. (Shirley Ann), 1940-Neocortical development / authors, Shirley A. Bayer and Joseph Altman. Includes bibliographical references. Includes index. ISBN 0-88167-778-7 1. Neocortex—Differentiation. 2. Neocortex—Growth. I. Altman, Joseph, 1925-. II. Title. [DNLM: 1. Cerebral Cortex—embryology. WL 307 B357n] OP383, 12, B39 1991 612.6'40181—dc20 DNLM/DLC for Library of Congress 91-84 CIP

The material contained in this volume was submitted as previously unpublished material, except in the instances in which credit has been given to the source from which some of the illustrative material was derived.

Great care has been taken to maintain the accuracy of the information contained in the volume. However, neither Raven Press nor the authors can be held responsible for errors or for any consequences arising from the use of the information contained herein.

9 8 7 6 5 4 3 2 1

AUTHORS' NOTE:

This book is out of print. Raven Press no longer exists. The copyright to this book is being transferred to us. to make this information available to all who are interested in neocortical development. In the files available for download, we add a few pages that review some of the relevant literature that has been published since 1991. Our observations in 1991 are relevant to the multitude of gene expression studies done since the mid-90s and up to the present day. Many of the hypotheses that we proposed in this book have been confirmed by these We also re-examine cell migration in the neocortex; the studies. labeling patterns in our autoradiograms are consistent with studies showing tangential migration of GABA-ergic cells from germinal zones in the basal ganglia into the developing neocortex. We invite your comments on our work, and would like to answer any questions that you want to ask about how your research correlates with our findings.

Contents

	face	ix xi xiii
PA	RT ONE: OVERVIEW OF MAJOR EVENTS IN CORTICAL DEVELOPM	ENT
1	Early Cortical Development: A Brief Historical Review	3
	 1.1 About the Neuroepithelium in General, 3 1.2 The Germinal Matrix of the Cerebral Cortex, 5 1.3 The Intermediate Zone of the Cerebral Cortex, 5 1.4 The Settling of Neurons in the Cortical Gray, 5 	
2	Neocortical Morphogenesis and Histogenesis: A Chronological Atlas	11
	2.1 The Formation of the Paired Telencephalic Vesicles, 112.2 Morphogenesis of the Neocortex, 172.3 Histogenesis of the Neocortex, 25	
3	Overview of Global Neurogenetic Gradients in the Neocortex and Limbic Cortex	30
	 3.1 Neurogenetic Gradients in Layer I and the Subplate, 31 3.2 Neurogenetic Gradients in Layers VI-II, 33 3.3 Linking the Transverse and Longitudinal Gradients to Afferent Fiber Growth into the Developing Neocortex, 42 	
	PART TWO: EMBRYONIC DEVELOPMENT OF THE NEOCORTEX	
4	The Germinal Matrix of the Developing Rat Neocortex	49
	 4.1 Evidence for Stratification and Cellular Heterogeneity in the Germinal Matrix, 50 4.2 Stratification and Heterogeneity in the Germinal Matrix Related to the 	
	Generation of Neurons, Glia, and Ependymal Cells, 62	
5	The Development of the Primordial Plexiform Layer and its Subsequent Partitioning into Layer I and the Subplate (Layer VII)	65
	 5.1 The Formation of Channel 1 and the Settling of the Cajal-Retzius Cells, 65 5.2 The Formation of Channel 2 and the Settling of Neurons in the Subplate, 67 5.3 Transient Positions of the Subplate Neurons and Morphogenesis of the Cortical Plate, 71 	
	5.4 Subplate and Cortical Plate Blending in the Limbic Cortex, 72	

6	Development of the Cortical Plate	73
	6.1 The Radial Morphogenetic Gradient in the Cortical Plate: A Brief Golgi Analysis, 73	
	6.2 The Settling of Cells in the Cortical Plate: Morphogenetic Evidence for an Uneven Radial Neurogenetic Gradient, 77	
7	Stratification in the Cortical Transitional Field	83
	7.1 The Sequential Appearance of Five Bands of Heavily Labeled Cells in the	
	Transitional Field, 84 7.2 The Fate of Cells in the First Inferior Band and the Third Superior Band, 95 7.3 Bands in the Transitional Field in Relation to the Development of Cytoarchitectonic Differentiation of the Cortex, 98	
8	Quantitative Studies of the Nuclear Area and Orientation in the Developing Neocortex	106
	8.1 Cell Packing Density, 108	
	8.2 Nuclear Area and Orientation in Cell Bodies of the Ventricular Zone, Subplate, and Cortical Plate, 109	
	8.3 Planar Differences in the Area and Orientation of Cell Nuclei in the Subventricular and Intermediate Zones, 112	
9	Cell Migration in the Developing Neocortex	116
	9.1 Changing Spatial Relationship Between the Ventricular Zone and the Cortical Plate, 117	
	9.2 Tracking Cell Migration in Thymidine Autoradiograms, 117	
	9.3 Three-Dimensional Reconstructions of the Lateral Cortical Stream, 1259.4 Cell Orientation in the Intermediate Zone Beneath Anterior and Posterior	
	Parts of the Dorsal Neocortex, 125 9.5 Relating These Data to Other Hypotheses of Neocortical Cell Migration, 127	
10	Experimental Studies of Neocortical Development Using x-Irradiation	128
	10.1 The Phenomenon of Neuroepithelial Collapse and the Delineation of the	
	Cortical Primordium, 129 10.2 Relating Changes in Cortical Radiosensitivity to Developmental Changes	
	Revealed with Thymidine Autoradiography, 135 10.3 The Possible Cellular Basis of the Regional Differences in Patchy	
	Neuroepithelial Collapse, 142	
	10.4 The Differential Radiosensitivity of Migrating Neurons: The Factor of Age, 143	
	10.5 Changing Radiosensitivity of Different Cellular Components of the Developing Cortex: A Quantitative Analysis, 146	
	10.6 Possible Long-Term Effects of Hazardous Influences on Cortical Development, 148	
	PART THREE: INTRINSIC NEUROGENETIC GRADIENTS IN SPECIFIC	C
	NEOCORTICAL AREAS	
11	Development of the Visual Areas	153
	 11.1 The Radial Neurogenetic Gradient, 154 11.2 Transverse Neurogenetic Gradients in the Deep Layers, 155 11.3 Transverse Neurogenetic Gradients in Granular Layer IV, 155 	

	11.4 Transverse and Sandwich Neurogenetic Gradients in the Supragranular Layers, 157	
	11.5 Correlations Between Neurogenetic Gradients and Thalamocortical Connections, 158	
	11.6 Possible Significance of the Shift in Gradients Between the Superficial and Deep Layers, 160	
12	Development of the Auditory Areas	161
	 12.1 The Radial Neurogenetic Gradient, 163 12.2 The Transverse Neurogenetic Gradient, 163 12.3 Longitudinal Neurogenetic Gradients, 164 12.4 Correlations Between Neurogenetic Gradients and Thalamocortical Connections, 165 	
13	Development of the Somatosensory Areas	167
	 13.1 The Radial Neurogenetic Gradient, 169 13.2 The Transverse Neurogenetic Gradient, 170 13.3 The Longitudinal Neurogenetic Gradient, 171 13.4 Comments on Barrel Specializations and Neurogenetic Gradients, 172 13.5 Correlation Between Neurogenetic Gradients and Thalamocortical Projections, 173 	
14	Development of the Motor Areas	175
	 14.1 The Radial Neurogenetic Gradient, 176 14.2 The Transverse Neurogenetic Gradient, 178 14.3 Longitudinal Neurogenetic Gradients, 180 14.4 Correlations Between Neurogenetic Gradients in Layer V with Outgrowth of the Corticospinal Tract, 181 14.5 Correlations Between Neurogenetic Gradients and Thalamocortical Projections, 183 14.6 Implications of the Reversal of the Transverse Gradient in the Superficial Layers, 185 	
1.	5 Development of the Limbic Cortical Areas	186
	 15.1 Neurogenetic Gradients in the Lateral Limbic Areas, 187 15.2 Neurogenetic Gradients in the Medial Limbic Areas, 191 15.3 Neurogenetic Gradients in the Orbital Cortex, 197 15.4 Correlations Between Neurogenetic Gradients and Anatomical Connections in the Limbic Cortex, 198 	
	PART FOUR: THEORETICAL ISSUES, SUMMARY, AND CONCLUSION	NS
1	6 Theoretical Issues	203
	 16.1 The Germinal Source of Cortical Neurons and Glia, 203 16.2 The Origin of Cortical Heterogeneity, 205 16.3 Neurogenetic Gradients in Relation to Thalamic Connections, 208 16.4 The Place of the Neocortex in Cortical Evolution, 213 	
1	7 Summary and Conclusions	216
	 17.1 Successive Transformations of the Cortical Germinal Matrix, 216 17.2 Developmental Events in the Transitional Field, 220 17.3 The Formation of the Primordial Plexiform Layer and the Cortical Plate, 221 17.4 Cortical Neurogenetic Gradients, 222 	

APPENDICES

Appendix 1	Histological Procedures for Normative Embryonic Studies	227
	Tritiated Thymidine Autoradiographic Methods Survival Autoradiography, 228	228
•	and Sequential-Survival Autoradiography, 230	
Appendix 3	Statistical Procedures	231
(Chapt 3.2 Analys	zing Data from the Long-Survival Thymidine Autoradiographic Series ters 3 and 11–15), 231 zing Data from the Quantitative Embryonic Studies ters 8–10), 231	
Appendix 4	Quantitative Procedures for Three-Dimensional Computer Reconstructions	232
4.2 Aligni 4.3 Deline	sing the Specimens and Photographing the Sections, 232 ng the Photographed Brain Sections, 232 eating the Neocortex and Collecting the Raw Data, 232 Reconstruction, 233	
Appendix 5	Quantitative Procedures for Determining Cell Packing Density, Cell Size, and Cell Orientation	234
5.2 Determ	racking Density, 234 mination of Nuclear Area, 234 mination of Cell Orientation, 235	
Appendix 6	Using x-Irradiation to Determine the Positions of Vulnerable Cell Populations	236
References		237
Subject Index		

Preface

The neocortex is the crown of the mammalian central nervous system both literally and figuratively. Because an animal can carry out many of its vital functions after structural or functional decortication, the neocortex is not essential for survival in the strict sense of the term. However, there is an abundance of direct and indirect evidence that suggests that the neocortex plays a crucial role in the control of higher perceptual processes, cognitive functions, and intelligent behavior. Ascending the phylogenetic scale, the six-layered neocortex steadily expands relative to the rest of the brain, a phenomenon referred to as progressive neencephalization. This expansion first becomes manifest as the smooth neocortical mantle (lissencephalic pallium) spreads over the rest of the forebrain and the midbrain. A later manifestation of progressive neencephalization, one especially pronounced in larger mammals, is the increasing convolution of the cortical surface. This brings about an increase in the ratio of nerve cell bodies and dendrites relative to the cortical afferents and efferents, reflecting gains in processing capability and computing power of the cortical gray matter. In parallel with progressive neencephalization, there is an increase in the number of different cytoarchitectonic subdivisions of the neocortex, particularly of those regions traditionally referred to as "association areas." It is widely assumed that the evolutionary growth of mental life that reaches its zenith in humans is attributable to the progressive expansion and elaboration of the neocortex.

Neuroanatomists have been studying the structural organization of the neocortex for centuries. This began with dissections aided by the naked eye and was followed by light microscopic examinations of regional differences in neocortical cytoarchitectonics. There is still an effort to unravel the gross and fine circuitry of the neocortex using chemical, biochemical, and physiological tracer techniques at light and electron microscopic levels of resolution. The investigation of the functional organization of the neocortex became possible with the introduction of electrical stimulation and recording techniques in the last century, and advances in electronics and computer techniques in this century are helping us to understand how information is conveyed to, and processed in, the neocortex. Neuropsychologists using ablation techniques, as well as electrical stimulation and recording procedures with implanted electrodes in conscious animals, have contributed their share to our current understanding of how the neocortex controls behavior. However, the investigation of the morphogenetic development of the neocortex was lagging behind the anatomical, physiological, and psychological studies of its structure and function. Gross descriptions of the developing neocortex in animals and humans were beginning to become available at the turn of the century. But the initial efforts of neuroembryologists to analyze the cytological processes underlying neural development tended to focus on the relatively simple caudal portion of the neural tube that gives rise to the spinal cord. This state of affairs began to change in the second half of this century. A steadily growing number of articles are now being published in various journals that deal with the development of the neocortex in a great variety of species and at different stages through the embryonic, fetal, and early postnatal periods. A few edited volumes have also appeared that contain valuable contributions by experts who deal with some selected aspect of neocortical development in one or another species. However, to our knowledge there is not a book currently available, written by a single team of investigators, that specifically deals with the development of the mammalian neocortex as a whole.

This book is the outcome of our own current investigations on neocortical development in which we relied on a limited number of techniques and concentrated on a single species, the rat. The lissencephalic cortex of the rat is far less complex structurally than the convoluted cortex of a carnivore or primate. This represents an advantage from the experimental point of view because

a study of the entire cortical mantle is possible. The major techniques and materials that we have used are limited to the following: (a) We have prepared a large collection (several hundred specimens) of high quality, methacrylate-embedded Nissl-stained sections that cut through the neocortex in the three cardinal planes. This material was used, first, to describe daily changes in the growth of the neocortex at the histological and cytological levels, and second, as normative material for comparisons with observations made in experimentally manipulated embryos; (b) A large collection (several hundred specimens) of embryos that received injections of [3H]thymidine to label multiplying precursors of neurons and glia were prepared for autoradiography. Three variants of this technique were used. First, long-survival autoradiography after multiple injections was used to determine the time of origin of different classes of neurons in different regions of the developing cortex on successive embryonic days in neonates and adults. Second, short-survival autoradiography (2 hours) after single injections was used to locate the sites of cell proliferation and their magnitude as a function of embryonic age. Third, sequential-survival autoradiography (consecutive 24 hour intervals) after single injections was used to follow the dynamics of cell proliferation in the cortical germinal matrices; to trace the migration and temporary pause of cells through the subventricular and intermediate zones of the cortex; and to determine the time of arrival, final distribution, and settling of young neurons in the cortical gray matter. Finally, we used low-level x-irradiation as an ancillary technique to study changes in the cellular dynamics of the germinal matrices giving rise to neurons and glia, and to investigate certain aspects of neuronal differentiation. Because our techniques are best suited to study developmental change during the embryonic period at the histological level, developmental changes during the postnatal period at the cellular and subcellular levels, i.e., dendritic differentiation and synaptogenesis, have not been investigated.

Shirley A. Bayer Joseph Altman

Acknowledgments

We wish to thank our predecessors and peers in neurobiology and in developmental neurobiology whose work and thought have guided us in the interpretation of our observations and experimental results. We owe particular thanks for excellent technical assistance to Libbey Craft, Sara Frazer, Julie Henderson, Mark O'Neil, and Robert Werberig.

Abbreviations

a A	anterior	FM	foramen of Monro
a, A	anterior	FR	
abt	anterior basal telencephalic	FRI	motor area
4 D.T.	neuroepithelium		frontal cortex, area 1
ABT	anterior basal telencephalon	FR2	frontal cortex, area 2
	(differentiating)	FR3	frontal cortex, area 3
AC	anterior commissure	fu	site of fusion of prosencephalon
AD	anterodorsal thalamic nucleus	GCC	genu of corpus callosum
ΑI	agranular insular cortex	GU	gustatory cortex
AID	agranular insular cortex, dorsal	h	head of lateral cortical stream
	part	HC	hippocampal commissure
AIP	agranular insular cortex, posterio	hi	hippocampal neuroepithelium
7111	part	HI, HP	hippocampus (differentiating)
AIV	agranular insular cortex, ventral	HL	hindlimb motor area
ZII V		hy	
AT	part	,	hypothalamic neuroepithelium
AL	anterolateral	HY	hypothalamus (differentiating)
AM	anteromedial thalamic nucleus	ib1	first inferior band
ар	alar plate of neuroepithelium	ib2	second inferior band
APO	anterior primary olfactory cortex	ibt	intermediate basal telencephalic
AV	anteroventral thalamic nucleus		neuroepithelium
bg	basal ganglia neuroepithelium	IBT	intermediate basal telencephalon
BG	basal ganglia (differentiating)		(differentiating)
bр	basal plate of neuroepithelium	ic	inferior collicular neuroepithelium
bt	basal telencephalic	IC	internal capsule
	neuroepithelium	ICP	insular cortical plate
ВТ	basal telencephalon	IL	infralimbic area
<i>D</i> 1	(differentiating)	inr	infundibular recess
607	callosal zone		
caz		iz, IZ	intermediate zone
CC	cerebral cortical neuroepithelium	izl	lower intermediate zone
CC	corpus callosum	izm	middle intermediate zone
ce	cerebellar neuroepithelium	izu, IZu	upper intermediate zone
CG	cingulate cortex	l, L	lateral
CG1	cingulate cortex, area 1	lcs, LCS	lateral cortical stream
CG2	cingulate cortex, area 2	LL	lateral limbic cortex
CG3	cingulate cortex, area 3	lo, h	low, heavy (labeling pattern in late
chl, Chl	channel 1 of developing cortex		cortical neuroepithelium)
ch2, Ch2	channel 2 of developing cortex	lo, l	low, light (labeling pattern in early
chp	choroid plexus rudiment	,	cortical neuroepithelium)
CL	claustrum	LT	lower tier of the cortical plate
col	collapsed neuroepithelium		(layers VI–V)
CP	cortical plate	lv, LV	lateral ventricle
CPa	cortical plate, anterior	m, M	medial
CPp	cortical plate, posterior	mc MC	mitotic cells
d, D	dorsal	MC	mesencephalon
DC	diencephalon	ML	medial limbic cortex
DL	dorsolateral	mn	zone of migrating neurons
DM	dorsomedial	MOC	motor cortex
DP	dorsal peduncular cortex	mr	mammillary recess
E	embryonic day	MS	mesencephalon
ECL	lateral entorhinal cortex	mSP	migrating subplate neurons
ECM	medial entorhinal cortex	mz, MZ	mitotic zone of neuroepithelium
ez	ependymal zone	NĆ	neocortex
FI	fimbria	ne, NE	neuroepithelium (ventricular zone)
FL	forelimb area	OB	olfactory bulb
~~		0.0	onactor, bato

OC	arbital cartou	SE	septum (differentiating)
OC1B	orbital cortex	SL	lateral somatosensory area
ОСТВ	occipital cortex, area 1 binocular	SM	
00114	part		medial somatosensory area
OC1M	occipital cortex, area 1 monocular	SP	subplate (layer VII)
0.00*	part	SSC	somatosensory cortex
OC2L	occipital cortex, area 2 lateral part	sSP	temporary positions of subplate
OC2M	occipital cortex, area 2 medial part		neurons
OCL	lateral occipital cortex	sv, SV	subventricular zone
OCM	medial occipital cortex	svl	lower subventricular zone
ole	olfactory epithelium	svu	upper subventricular zone
olp	olfactory placode	sz, SZ	synthetic zone of neuroepithelium
olv	olfactory ventricle	sIV	sojourn zone of layer IV neurons
ор	olfactory pit	sV	sojourn zone of layer V neurons
or	optic recess	sVI	sojourn zone of layer VI neurons
ov	optic vesicle	TC	telencephalon
p, P	posterior	te	tegmental neuroepithelium
PAR	parietal cortex	TE	temporal cortex (Auditory)
PAR1	parietal cortex, area 1	TE1	temporal cortex, area 1 (Auditory)
	(Somatosensory)	TE2	temporal cortex, area 2 (Auditory)
PAR11	parietal cortex, area 1 lateral part	TE3	temporal cortex, area 3 (Auditory)
	(Somatosensory)	tf, TF	transitional field of neocortex
PARIm	parietal cortex, area 1 medial part	th	thalamic neuroepithelium
	(Somatosensory)	TH	thalamus (differentiating)
PAR2		tlv	telecephalic vesicles
IANZ	parietal cortex, area 2	TT	tenia tecta
nht	(Somatosensory)		
pbt	posterior basal telencephalic	up, h	up, heavy (labeling pattern in late
DDT	neuroepithelium	l	cortical neuroepithelium)
PBT	posterior basal telencephalon	up, l	up, light (labeling pattern in early
non	(differentiating)	T IOD	cortical neuroepithelium)
PCP	primordial cortical plate	UT	upper tier of the cortical plate
PI	pineal gland	* *	(layers IV-II)
PICP	piriform cortical plate	v, V	ventral
pl, PL	primordial plexiform layer	VB	ventrobasal thalamic nucleus
PM	posteromedial	VBL	ventrobasal thalamic nucleus,
PO	preoptic area		lateral
POST	posterior	VBM	ventrobasal thalamic nucleus,
PPL	primordial plexiform layer		medial
PPO	posterior primary olfactory cortex	VC	visual cortex
PR	perirhinal	VL	ventrolateral
prc	prosencephalic central canal	VM	ventromedial
prv	prosencephalic neuroepithelium	VM	ventromedial thalamic nucleus
pt	pretectal neuroepithelium		(Fig. 16–3)
PΤ	pretectum	VPm	ventroposteromedial thalamic
r	reservoir of lateral cortical stream		nucleus (also called VM)
RC	rhombencephalon	vz, VZ	ventricular zone (neuroepithelium)
RF	rhinal fissure	VZa	anterior ventricular zone
Rp	Rathke's pouch	VZp	posterior ventricular zone
RS	retrosplenial cortex	v3 ¹	third ventricle
RSA	agranular retrosplenial cortex	v3d	third ventricle, dorsal
RSG	granular retrosplenial cortex	v3v	third ventricle, ventral
sb	superior bridge	WH, WM	white matter
sb1	first superor band	I	layer I of neocortex
sb2	second superior band	ÎI	layer II of neocortex
sb3	third superior band	III	layer III of neocortex
SC SC	superior collicular	IV	layer IV of neocortex
30	neuroepithelium	V	layer V of neocortex
SSC	splenium of corpus callosum	VΙ	layer VI of neocortex
		* *	injet vi of heocoftex
se	septal neuroepithelium		